

17698 U.S. PTO  
07/29/03

REV. 04/01  
For Other Than A Small Entity

Attorney Docket No. CF-84

17302 U.S. PTO  
10/629128  
07/29/03

Applicants : Joseph M. Asher and Howard W. Lutnick  
For : SYSTEMS AND METHODS FOR AUTOMATED  
INTERNET-BASED AUCTIONS

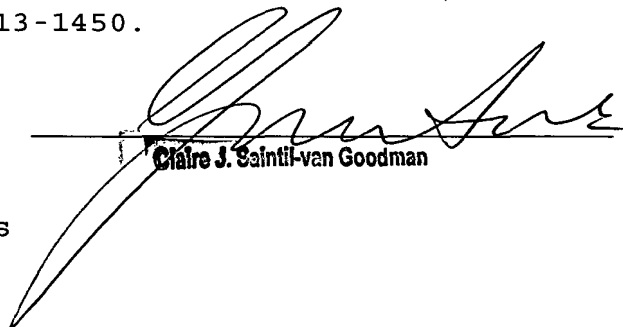
EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV133107285US.

Date of Deposit July 28, 2003.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop Patent Application, the Honorable Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mail Stop Patent Application  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

  
Claire J. Saintil-van Goodman

TRANSMITTAL LETTER FOR EXECUTED  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the  
[X] specification; [X] claims; [X] abstract; [X] executed  
declaration and power of attorney; [X] Nonpublication  
Request Under 37 C.F.R. § 1.213(a); [X] Information  
Disclosure Statement, Form PTO 1449, and seven references;  
and [X] Limited Recognition Under 37 CFR 10.9(b) for the  
above-identified patent application.

Also transmitted herewith are:

[X] 9 sheets of:

[X] Formal drawings.

☐ Informal drawings. Formal drawings will be filed during the pendency of this application.

☐ Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed.

☒ An assignment of the invention to eSpeed, Inc.,  
135 East 57th Street, 5th Floor, New York, New York  
10022

☒ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$ 750.00
TOTAL CLAIMS	100	- 20 = 80	X \$18 =	\$1,440.00
INDEPENDENT CLAIMS	2	- 3 = 0	X \$84 =	\$ 0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$ 0.00
			TOTAL	<u>\$2,190.00</u>

[X] A check in the amount of \$2,190.00 in payment of the filing fee is transmitted herewith.

[ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Joseph M. Asher and Howard W. Lutnick  
Filed : Herewith  
For : SYSTEMS AND METHODS FOR AUTOMATED  
INTERNET-BASED AUCTIONS

Mail Stop Patent Application  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, V.A. 22313-1450

NONPUBLICATION REQUEST  
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicants hereby request that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.



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